



PTO/SB/21 (09-04)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/811,228
Filing Date	March 26, 2004
First Named Inventor	GIFFORD et a.
Art Unit	3738
Examiner Name	Unassigned
Attorney Docket Number	022128-000400US

ENCLOSURES (Check all that apply)

- | | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input checked="" type="checkbox"/> Information Disclosure Statement

<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____
<input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Return Postcard
PTO/SB/08A and .08B Form
5 Reference Copies |
|---|---|--|

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Scott M. Smith		
Date	12/14/04	Reg. No.	48,268

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature	
Typed or printed name	Tiffany Wu

Date 12/15/04

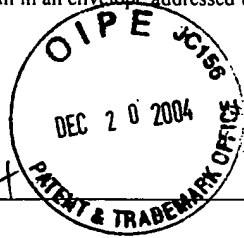
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT
Attorney Docket No.: 022128-000400US

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

On

12/15/04



TOWNSEND and TOWNSEND and CREW LLP

By:

Tiffany Wu

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GIFFORD et al.

Application No.: 10/811,228

Filed: March 26, 2004

For: METHODS AND APPARATUS
FOR TREATMENT OF PATENT
FORAMEN OVALE

Examiner: Unassigned

Art Unit: 3738

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the Foreign references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

GIFFORD et al.
Application No.: 10/811,228
Page 2

Applicant believes that no fee is required for submission of this statement.

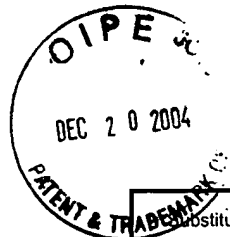
However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Scott M. Smith
Reg. No. 48,268

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: 650-326-2400
Fax: 650-326-2422
SMS:ewm
60377699 v1



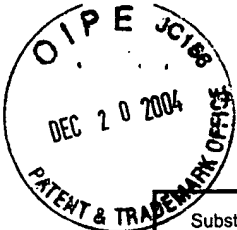
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/811,228	
			Filing Date	March 26, 2004	
			First Named Inventor	GIFFORD et al.	
			Art Unit	3738	
			Examiner Name	Unassigned	
Sheet		of	3	Attorney Docket Number	022128-000400US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	1	2004/0059347 A1	03-25-2004	Hamilton	
	2	2004/0243122 A1	12-02-2004	Auth et al.	
	3	2003/0158551	08-21-2003	Paton et al.	
	4	2003/0144652	07-31-2003	Baker et al.	
	5	2003/0093071	05-15-2003	Hauck et al.	
	6	2003/0078578	04-24-2003	Truckai et al.	
	7	2003/0028189	02-06-2003	Woloszko et al.	
	8	2002/0128672	09-12-2002	Dinger et al.	
	9	6,776,784 B2	08-17-2004	Ginn	
	10	6,676,685 B2	01-13-2004	Pedros et al.	
	11	6,583,117	06-24-2003	Owen et al.	
	12	6,562,037	05-13-2003	Paton et al.	
	13	6,398,797	06-04-2002	Bombard et al.	
	14	6,398,782 B1	06-04-2002	Pecor et al.	
	15	6,398,779	06-04-2002	Buysee et al.	
	16	6,391,049	05-21-2002	McNALLY et al.	
	17	6,383,198 B1	05-07-2002	Hamilton	
	18	6,355,030 B1	03-12-2002	Aldrich et al.	
	19	6,323,037	11-27-2001	Lauto et al.	
	20	6,302,898 B1	10-16-2001	Edwards et al.	
	21	6,257,241	07-10-2001	Wampler	
	22	6,221,068	04-24-2001	Fried et al.	
	23	6,168,594	01-02-2001	LaFontaine et al.	
	24	6,132,429	10-17-2000	Baker	
	25	6,087,552	07-11-2000	Gregory	
	26	6,086,586	07-11-2000	Hooven	
	27	6,083,223	07-04-2000	Baker	
	28	6,063,085	05-16-2000	Tay et al.	
	29	6,063,081	05-16-2000	Mulier et al.	
	30	5,931,165	08-03-1999	Reich et al.	
	31	5,928,266	07-27-1999	Kontos	
	32	5,925,078	07-20-1999	Anderson	
	33	5,827,265	10-27-1998	Glinsky et al.	
	34	5,824,015	10-20-1998	Sawyer	
	35	5,749,895	05-12-1998	Sawyer et al.	
	36	5,725,522	03-10-1998	Sinofsky	
	37	5,713,891	02-03-1998	Poppas	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.



Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(use as many sheets as necessary)*Sheet of **3****Complete if Known**

Application Number	10/811,228
Filing Date	March 26, 2004
First Named Inventor	GIFFORD et al.
Art Unit	3738
Examiner Name	Unassigned
Attorney Docket Number	022128-000400US

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	61	WO	87/04081		01-08-1986	Polgar		<input type="checkbox"/>
	62	WO	99/18870		04-22-1999	Stambaugh et al.		
	63	WO	99/18871		04-22-1999	Stambaugh et al.		
	64	WO	04/043266	A2	05-27-2004	Opolski		
	65	WO	04/069055	A2	08-19-2004	Frazier et al.		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

60377699 v1

Examiner Signature	<input type="text"/>	Date Considered	<input type="text"/>
-----------------------	----------------------	--------------------	----------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

60377699 v1